



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,197	07/19/2001	Tomoyuki Narumi	0153-83085

OCT 19 2001



John Christensen  
 Welsh & Katz, Ltd.  
 22nd Floor  
 120 South Riverside Plaza  
 Chicago, IL 60606

CONFIRMATION NO. 9733

## FORMALITIES LETTER



\*OC00000006495677\*

Date Mailed: 08/30/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

130.00 00  
 40.00 00  
 01/09/2002 AGD10M 00000003 09909197  
 01 FC:105  
 02 FC:584

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Date: October 19, 2001  
File No. 0153-83085

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of      Narumi, et al.      Group Art Unit:      2644  
Serial No.:      09/909,197  
Filed:      July 19, 2001  
For:      **"Removable Power Supply for an  
Audio Device"**

COMMISSIONER FOR PATENTS  
Washington D.C. 20231

Sir:

Completion of Filing Requirements

Transmitted herewith in response to the Office letter dated 08/30/01 are:

- (X) Copy of the Office letter dated 08/30/01.  
(X) Declaration or oath for this application  
( ) Filing fee for this application.  
(X) Assignment(s) of the invention to Audio-Technica U.S., Inc.  
( ) Petition for Extension of Time.  
(X) Other Express Mailing Certificate (Express Label No. EL854694571US)  
(X) Other 14 sheets of formal drawings  
(X) Other Supplemental Application Data Sheet to correct given name of Inventor Two  
(X) A check in the amount of \$170 to cover the filing fee and/or surcharge and/or assignment recording fee is enclosed.  
( ) A check in the amount of \$ to cover the fee for recording the assignment(s) is enclosed.  
( ) Charge \$ \_\_\_\_\_ to Deposit Account No. 23-0920.  
(X) The Commissioner is hereby authorized to charge any additional fees which may be required to this application under 37 C.F.R. §§1.16-1.17, or credit any overpayment, to Deposit Account No. 23-0920. Should no proper amount be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 23-0920. A duplicate copy of this sheet is enclosed.

120 South Riverside Plaza  
22nd Floor  
Chicago, Illinois 60606  
(312) 655-1500

WELSH & KATZ, LTD.

By:   
Jon P. Christensen  
Registration No. 34,137

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 170.00)

**Complete if Known**

Application Number	09/909,197
Filing Date	July 19, 2001
First Named Inventor	Narumi, et al
Examiner Name	
Group/Art Unit	2644
Attorney Docket Number	0153-83085

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number  
Deposit Account Name

23-0920

WELSH &amp; KATZ, LTD.

- Charge any Additional Fee Required Under 37 CFR. 1.16 and 1.17

2.  Payment Enclosed:

- Check  Credit  Money  Other Card Order

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101	710	201 355 Utility filing fee	
106	320	206 160 Design filing fee	
107	490	207 245 Plant filing fee	
108	710	208 355 Reissue filing fee	
114	150	214 75 Provisional filing fee	

**SUBTOTAL (1)**

(\$)

**2. EXTRA CLAIM FEES**

	Extra Claims	Fee from below	Fee Paid
Total Claims	<input type="text"/>	-20** = <input type="text"/>	x <input type="text"/> = <input type="text"/>
Independent Claims	<input type="text"/>	-3** = <input type="text"/>	x <input type="text"/> = <input type="text"/>
Multiple Dependent Claims			<input type="text"/> = <input type="text"/>

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
103	18	203 9 Claims in excess of 20
102	80	202 40 Independent claims in excess of 3
104	270	204 135 Multiple dependent claim, if not paid
109	80	209 40 **Reissue independent claims over original patent

110 18 210 9 \*\*Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$)

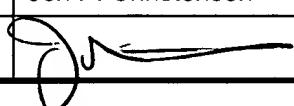
**SUBTOTAL (3)**

(\$)170.00

\*\*or number previously paid, if greater; For Reissues, see above

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY****Complete (if applicable)**

Name (Print/type)	Jon P. Christensen	Registration No. (Attorney/Agent)	34,137	Telephone	312-655-1500
Signature			Date	Oct. 19, 2001	

*u*

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*Sector*



## Certificate of Express Mailing

Express Mail mailing label number EL854694571US

Date of Deposit: October 19, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail" Post Office to Addressee. Service under 37 CFR 1.10 is on the date indicated above and addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231. The person mailing this paper is:

Fred Franks

Typed or Printed Name of Person Mailing Paper or Fee

*Fred Franks*  
Signature of Person Mailing Paper or Fee